



04-29-05

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Michiya Katou  
Serial No.: 10/713,526  
Filing Date: November 12, 2003  
Docket No.: 1018.1192101  
For: TRANSPONDER FOR TIRE CONDITION MONITORING APPARATUS

Confirmation No.: 9310  
Examiner: T. Hunnings  
Group Art Unit: 2632  
Customer No.: 28075

**TRANSMITTAL SHEET**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496923 US, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 28th day of April 2005.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

- ☐ Amendment  
☐ No additional claim fee required ☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
				SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 25 =	\$	X 50 =	\$
INDEPENDENT CLAIMS	-	=		X 100 =	\$	X 200 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 180 =	\$	+ 360 =	\$
TOTAL				\$		\$	

- ☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

[XX] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE, CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND ONE CITED FOREIGN REFERENCE.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
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Minneapolis, MN 55403-2420  
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P A T E N T

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496923 US, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 28th day of April 2005.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office

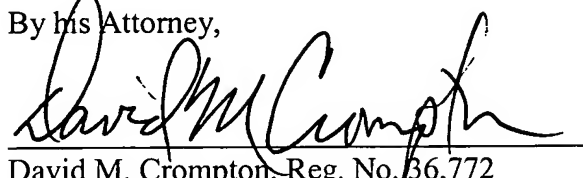
action on the merits, whichever event occurred last but before the mailing date of either a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first.

Accompanying this Information Disclosure Statement is a certification under 37 C.F.R. § 1.97(e)(1).

Respectfully submitted,

Michiya Katou

By his Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: \_\_\_\_\_

4/28/05



**P A T E N T**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Michiya Katou Confirmation No.: 9310  
Serial No.: 10/713,526 Examiner: T. Hunnings  
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For: TRANSPONDER FOR TIRE CONDITION MONITORING APPARATUS

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. § 1.97(e)(1))**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496923 US, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 28th day of April 2005.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

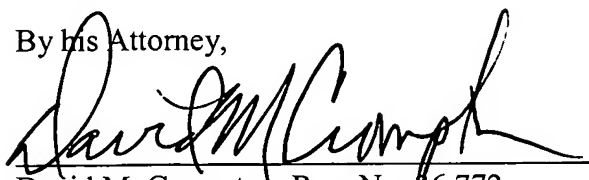
The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

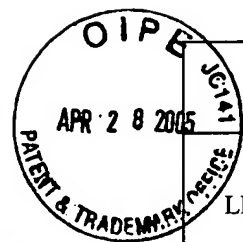
Michiya Katou

By his Attorney,

Date: 4/28/05

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 66,772  
CROMPTON, SEAGER & TUFTE, LLC  
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<b>FORM PTO-1449</b>	Atty. Docket No.: 1018.1192101	Serial No.: 10/713,526
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Michiya Katou	
	Confirmation No.: 9310	
	Filing Date: November 12, 2003	Group Art: 2632

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	4,048,614	09/13/1977	Shumway	
AB	5,040,561	08/20/1991	Achterholt.	
AC	5,731,516	03/24/1998	Handfield et al.	
AD	5,774,048	06/30/1998	Achterholt	
AE	5,853,020	12/29/1998	Widner	
AF	5,987,980	11/23/1999	Mangafas et al.	

**FOREIGN PATENT DOCUMENTS**

	Document No.	Date	Country	Translation Yes No
AG	WO 02/20287 A1	03/14/2002	WIPO	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.